



# Use of high activity enzyme preparations in neat organic solvents for organic synthesis

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## Abstract

The use of enzymes in nearly anhydrous organic solvents generally results in low initial rates/percentage conversions. The current review focuses on some biocatalyst designs like immobilization on nanomaterials, enzyme precipitated and rinsed with organic solvents (EPROS), crosslinked enzyme crystals (CLEC), crosslinked enzyme aggregates (CLEA), protein coated microcrystals (PCMC) and crosslinked protein coated microcrystals (CLPCMC) which show much better catalytic efficiency in such media as compared to other kinds of biocatalyst preparations. The basic methodology and principle behind these efficient designs are described. The relatively recent results on catalytic promiscuity as seen in low water media have also been covered. It is hoped that this will further encourage wider applications of enzymes in neat organic solvents in organic synthesis.

**Keywords:** Transesterification reactions, aldol condensation, kinetic resolution, enantioselective reactions, regioselectivity, nanobiocatalysts, enzyme catalysis in low water media, enzyme promiscuity

## Introduction

Use of enzymes in nearly anhydrous organic solvents has opened up fresh opportunities for their use in organic synthesis. An excellent review by Lee and Dordick (2002) [1] summarized the growing evidence by 1990s that the catalytic rates obtained by using enzymes in such media are lower by several orders of magnitude as compared to ones obtained in water. One striking example given in that review concerns regioselective acylation of paclitaxel (an anticancer drug) which was not possible till efforts were repeated with a "salt activated" preparation of thermolysin. It is worrisome that bulk of the papers using enzymes in low water media does not pay attention to this issue and often poor initial rates/conversions are reported. Lyophilization or freeze drying is often used for preparing enzymes for use in low water media or lyophilized/spray dried enzyme powders "straight from the vendor" are used. This has sometimes discouraged organic chemists from replacing chemical catalysts with enzymes.

This review primarily focuses on general principles underlying efforts which have resulted in enzyme formulations which show much higher catalytic activity in low water containing organic solvents. The applications of these high activity preparations in organic synthesis are also described. It is hoped that awareness of these results would induce wider and more successful applications of enzymes in low water media in the area of organic synthesis. This review is limited to nearly anhydrous organic solvents as the reaction medium. Other low water media are reverse micelles and ionic liquids [2,3].

## Review

### Some fundamental concepts in non aqueous enzymology

Enzymes in low water media still require some small amount of water (believed to be less than the monolayer surrounding the enzyme molecules). The phrase "nearly anhydrous" often used to describe the low water media relates to this fact. For optimum activity in such media, the amount of water required to be added depends upon multiple factors as the added water gets distributed over multiple phases (organic solvent, support material for immobilized enzymes, vapour phase/air in the reaction vessel, substrates, products etc). Hence it is more prudent to talk in terms of  $a_w$  however this is not so useful in polar solvents. This is a rather complex issue which has been discussed at great length elsewhere [4]. The general picture is that hydrophobic solvents as media provide better initial rates as hydrophilic organic solvents strip off the essential water layer from the enzyme molecules. Log P is considered as the best parameter for organic solvents for correlating with enzyme efficiency. It does not work well over the entire range of polarity but no better parameter has emerged so far [5]. One recent publication which provides a somewhat different perspective and deserves more attention than it has got is by Paez et al., (2003) [6]. In the context of modelling the effect of free water on enzyme activity in immobilized lipase catalyzed reactions, authors believe that increasing amount of water entering the carrier pores reduces the number of enzyme molecules at the water solvent interphase and thereby hinders the accessibility of the hydrophobic substrates. The enzyme in low water still

requires same ionization states of the amino acid side chains (especially of active site residues) as present when enzyme is at the pH optimum in aqueous buffers. Hence, use of solid state buffers and drying enzymes from aqueous buffers at pH optimum is desirable [7]. From phenomenological point of view, it indicates that the mechanism of the reaction does not change in low water media. Only the direction of the reaction changes when hydrolases are used since water for hydrolysis is not adequately available. In fact, hydrolysis and synthetic modes compete with each other. Hence, the need for optimization of water level arises. This also means that if optimum  $a_w$  is lowered by biocatalyst engineering, it improves conversions in the direction of synthesis.

### The confusion between stability and catalytic efficiency

Even in the literature on enzyme catalysis in the conventional media of aqueous buffers, sometimes there has been lack of clarity about the concept of enzyme stability. There is storage stability which refers to how long an enzyme preparation can be stored under particular conditions. Stability towards a "stress" (denaturing and/or harsh) conditions is measured by an exposure of the enzyme preparation to that particular condition for a specific period of time and finding out decrease (if any) in the enzyme activity by reverting to normal conditions and/or conditions under which enzyme is generally assayed. This change is a measure of irreversible inactivation. Measuring enzyme activity under the reactor conditions is operational stability. While reporting change in enzyme activity (over a control) in organic solvents any higher initial rates or % conversion is quite often referred to as having stabilized the enzyme. May be so or may be that a particular formulation or a particular step (such as immobilization) has simply resulted in greater catalytic efficiency. After all the initial excitement, the reality check is in place that enzymes in low water media almost never reach  $k_{cat}/K_m$  values which are reported for the same enzyme in aqueous buffers [1].

### Various attempts at improving catalytic efficiency

Broadly, such attempts can be classified as follows:

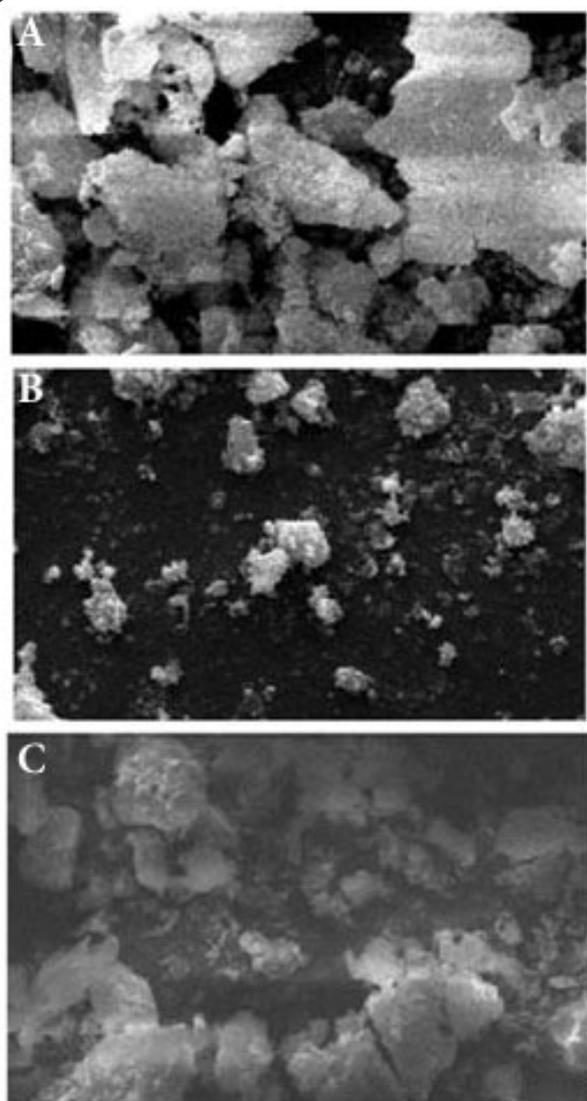
- i. PEG-modified enzymes soluble in some organic solvents [8,9].
- ii. Enzymes entrapped within a gel [10].
- iii. Immobilized enzymes [11].
- iv. Enzyme-Polymer complexes [12].
  - (a) Chemical modification [13].
  - (b) Surfactant/Lipid coated enzyme [14-17].
- v. Enzymes lyophilized with cryoprotectants  $\pm$  lyoprotectants [18], salts at high concentrations (exemplified notably by KCl [19-22], other additives like crown ethers and cyclodextrins etc [1]).
- vi. Enzymes "dried" by precipitation with organic solvents [23-25].
- vii. Enzymes crystallized and crosslinked [26-28]/enzymes crosslinked extensively after precipitation.

While the primary focus of this review is on (vi) & (vii), few comments and examples on other approaches may be in order. One of the most impressive results has been reported by lyophilizing enzymes in presence of high concentration of KCl. Given the impressive result, one would have expected lot of people switching over to this formulation. Yet only few laboratories seem to use it. We need wider experience with these formulations. An excellent review on this approach has been recently published [29]. The major problem in evaluating any improvement in catalytic efficiency has been two fold: (a) Reliable assay measurements. To get some idea about the seriousness of this issue, the reader is referred to [30] for a discussion on this with lipase as a focus. (b) What is being compared with what? Numerous papers report a method of immobilization and an initial rate/% conversion obtained with the immobilized preparation in the case of an arbitrarily chosen reaction in an arbitrarily chosen set of media. Hence, it is seldom possible to assess any improvement which a particular method has achieved over existing ones.

### Enzyme precipitated and rinsed with organic solvents (EPROS)

Partridge et al., (1998) [20] described a simple high activity preparation for Subtilisin Carlsberg (SC) and  $\alpha$ -chymotrypsin by precipitating them by *n*-propanol over silica gel. The preparation was "dried" by rinsing with *n*-propanol and called propanol rinsed enzyme preparations (PREP).

Roy and Gupta (2004) [31] showed that a similar high activity preparation could be obtained even as a free enzyme.  $\alpha$ -Chymotrypsin was precipitated from a buffered solution of appropriate molarity by *n*-propanol and repeatedly rinsed with the same organic solvent gave a "dry" precipitate from which all excess water had been removed. The preparation called EPRP gave 132 times higher initial rates for esterification in *n*-octane. This was in comparison with lyophilized powders. No  $a_w$  control was maintained during the measurements of initial rates so it is likely that these initial rates were underestimated. Shah and Gupta (2007) [32] subsequently showed that an EPRP of SC gave about 10,000 times increase in initial rates for transesterification in the ionic liquid [Bmim][PF<sub>6</sub>] as compared to so called pH tuned lyophilized powders. The EPRP showed limited activity even in water soluble ionic liquid [Bmim][BF<sub>4</sub>] wherein pH tuned lyophilized SC showed no activity whatsoever. EPRP of *Candida rugosa* lipase (CRL) was evaluated for kinetic resolution of ( $\pm$ )-1-phenylethanol in [Bmim][PF<sub>6</sub>]. EPRP gave an E value of 153 [2]. In the same work it was reported that acetone was a better solvent for precipitation and rinsing in case of *Burkholderia cepacia* lipase (BCL). Hence a more general name for the preparation, Enzyme Precipitated and Rinsed with Organic Solvent (EPROS) was given. EPROS of BCL gave E > 1000 in just 2 hours for the above kinetic resolution. The untreated enzyme powders performed poorly. Solanki and Gupta (2008) [33] showed the conceptual linkage between EPRP and PCMC (Protein Coated



**Figure 1.** Scanning electron microscopy (SEM) images (A) EPRP of  $\alpha$ -chymotrypsin (0 percent trehalose) precipitated into n-propanol (magnification: 5000 $\times$ ). (B) EPRP of  $\alpha$ -chymotrypsin (5 percent trehalose) precipitated into n-propanol (magnification: 5000 $\times$ ). (C) PCMC of  $\alpha$ -chymotrypsin (40 percent trehalose) precipitated into n-propanol (magnification: 5000 $\times$ ). SEM was carried out on a Zeiss EVO50 scanning electron microscope. Samples were dried by rinsing with anhydrous propanol, placed on a sample holder, and coated with silver before being scanned in vacuo. [reproduced from Solanki and Gupta, 2008 with permission from publishers].

Micro Crystals; see later for a discussion on PCMC) designs. EPRP of  $\alpha$ -chymotrypsin was prepared in presence of trehalose. As trehalose amount increased, EPRP morphed into PCMCs (Figure 1). PCMCs, in this case were found to give much higher rates as compared to EPRP. The biocatalyst preparations were

evaluated both in *n*-octane and acetonitrile.

There has been much concern about low activity or absence of activity of lipases towards *t*-alcohols and their derivatives as substrates. Majumder and Gupta (2011) [34] showed that when EPROS of CRL were prepared by precipitation and rinsing with *t*-alcohols like *t*-butyl alcohol or *t*-amyl alcohols, then catalytic activity for transesterification of tributyrin with *t*-alcohols increased about 41 times as compared to lyophilized powders. Again, not many groups seem to have used EPRP and PREP. We need wider trials for these simple approaches.

### Crosslinked enzyme crystals (CLEC)

Clair and Navia (1992) [26] described CLEC design exemplified by thermolysin. These were prepared by crosslinking of microcrystals of  $\sim 10^{-1}$  mm size by glutaraldehyde. It was shown that CLEC, unlike the powders of free enzyme, retained almost complete activity upon exposure to acetonitrile, dioxane, acetone and THF for 1 hour. A careful look at the experimental protocol would show that the medium was actually aqueous-organic cosolvent mixture. Partridge et al., (1996) [35] used CLEC of Subtilisin in anhydrous acetonitrile for a transesterification reaction. They observed that the best way of "drying" CLECs was by rinsing with dry organic solvents. Khalaf et al., (1996) [36] used CLECs of CRL and/or BCL for resolution of the alcohols, acids and amines in the dry organic solvents. These workers used solutions of surfactants in organic solvents for drying CLECs. CRL-CLEC showed higher enantioselectivity as compared to free and crude CRL which authors believed could be due to "removal of competing hydrolases".

Wang et al., (1996) [37] from the same group reported that the high enantioselectivity of CLEC of subtilisin towards the substrate (L)-amino acids and (S)-amines resulted in the production of (S,S)-alkyl amides even when starting molecules are not optically pure. The enzyme formulation worked with a broad range of substrates; acetonitrile was found to be the best solvent among several tried. It is noteworthy that no reaction occurred when DMF was used as solvent. No loss in catalytic performance was reported even after 7 cycles of use. Lalonde et al., (1997) [28] described use of CLECs of lipases in kinetic resolution of acids and alcohols. Typical of articles in volumes of this invaluable series, this provides a good introduction to the biocatalyst design and offers many helpful practical suggestions. The article included several applications which involved use of nearly anhydrous organic solvents for enantiospecific esterification. Higher initial rates and enantioselectivity were reported with CLECs as compared to the corresponding free enzyme. Pepin and Lortie (2001) [38] used CLEC prepared from CAL B for esterification of (R,S)-Ibuprofen with dodecanol in octane and found that just like Novozyme-435 (an immobilized form of *Candida antarctica* lipase B (CAL B) sold by Novozymes, Denmark), CLEC also gave best performance at  $a_w=0.1$ . An interesting comparison with CLEC design has shown [27] that colyophilization of Subtilisin

Carlsberg with methyl-cyclodextrin results in an enzyme preparation which provides better rates and enantioselectivity in organic solvents. CLECs, while a highly efficient design, requires a pure enzyme to start with. Given the high cost of protein purification, this becomes a serious disadvantage.

### Crosslinked enzyme aggregates (CLEA)

In recent years, Sheldon and his coworkers [23] described preparation of CLEAs wherein enzyme precipitates, "the pre-organized superstructure of the aggregates" were crosslinked to insoluble aggregates. A major advantage of CLEAs over crosslinked enzyme crystals (CLECs) is that unlike the latter, CLEAs do not necessarily require pure enzyme. Earlier, a large number of insoluble enzyme aggregates had been described in the literature [39-41]. These were prepared by extensive cross linking of enzyme solutions. These insoluble aggregates were lot more amorphous in morphology [41]. Glutaraldehyde is undoubtedly the most frequently used crosslinking reagent in science and has also been the one generally used for preparing CLEAs [42,43]. It has been shown that varying extent of crosslinking of BCL (by simply employing different concentration of glutaraldehyde) can give CLEAs which give different initial rates and enantioselectivity in low water media [24]. The preparation of CLEAs with different variations of the basic methodology has been described at a number of places [42,43]. While precipitation with organic solvents has been most often used, salts and polymers have also been used. Co-precipitation of the enzyme with polyethyleneimine (PEI) or PEI-dextran sulphate mixtures has been reported to produce significant changes in activity, specificity and enantioselectivity of the enzyme due to introduction of different microenvironments around the enzyme active site [42,43]. Similarly, presence of additives such as surfactants, crown ethers and amines during formation of CLEAs of lipases "locked" the enzymes in the more active conformation. Up to ten times the activity of the free enzyme in organic solvents could be obtained [44]. The low protein content in starting commercial preparation in case of Amano® lipase from *Pseudomonas cepacia* led to poor crosslinking. Addition of Bovine Serum Albumin (BSA) as a "proteic feeder" not only solved that problem but led to higher operational stability in organic solvents [45].

After the above general picture, it is useful to look at the specific results obtained with this biocatalyst design in low water media. The use of CLEAs of Penicillin G Acylase [46] led to the synthesis of ampicillin in a broad range of solvents. Interestingly, neither the reaction rate nor ratio of synthetic to hydrolytic activities (S/H ratio) correlated well with log P, the highest ratio being observed in acetonitrile. Authors also claimed that the CLEA design was more efficient than even CLEC design by 50% for this synthesis in terms of initial rates. A subsequent study reported that CLEAs showed a comparable % conversion to free enzyme (85% vs. 88% respectively), the corresponding S/H ratio at those % conversions was 1.58

and 2.0 respectively [47]. Surprisingly, the % conversion reported in various organic solvents correlated well with log P. Acetonitrile was again reported to show highest S/H ratio. Langen et al., (2005) [48] reported preparation of cyanohydrins for several aldehydes using a CLEAs of (R)-oxynitrilase under microaqueous conditions. The 10 times recycling of the biocatalyst was possible without loss of activity. Excellent conversions up to 99% with good enantioselectivity were observed in several cases. Employing low water conditions suppressed the non-enzymatic reactions and hence improved enantioselectivity.

The CLEA particles have a size range of 0.5-200  $\mu$  with an average of 12  $\mu$  [42]. It was estimated that "a single CLEA particle contains a maximum of  $8 \times 10^6$  enzyme molecules" with CAL B as an example [42]. It was pointed out "CLEAs can form large clusters which do have mass transport limitations". The clusters can be as large as 100  $\mu$ m and CLEAs in a cluster can vary widely "from a few hundred to a few thousand". Cluster size was found to have effect on activities if fast assays were used. CAL B formed very large and hydrophobic clusters which showed higher activity with fast spectrophotometric assay as compared to the slower titration based assay. While both assays tracked hydrolysis of the ester bond, mass transfer limitations could be seen in the titration based assay. On the other hand, glycosylated enzymes ss-Galactosidase formed "well dispersible suspensions" which showed no difference in activities when assayed by two different fast and slow assays [42]. The issue of cluster size and nature of enzyme molecule had been studied at a greater length by the same group [49]. Majumder et al., (2008) [24] showed that extent of crosslinking also affected cluster size and same enzyme can give CLEA clusters of different morphology and size.

Novozyme 435 is an immobilized preparation of CAL B sold by Novozymes, Denmark. It is one of the most frequently used enzyme preparation in organic biotransformation. The reason is that it often shows very good catalytic performance in the case of numerous reactions carried out in low water media. Sheldon (2006) [23] claims that CLEA prepared from CAL B by a patented procedure for organic media surpasses even Novozyme 435 in catalytic performance in low water organic solvents, ionic liquids and supercritical CO<sub>2</sub>.

Alternative protocols for preparing CLEAs continue to be developed. Sometime back, it was mentioned that reducing the size of CLEAs by using a reciprocating mixing device increased the catalytic activity of CLEAs of *N*-acetyl-D-neuraminic acid aldolase for catalyzing condensation of pyruvate and *N*-acetyl-D-mannosamine. While this particular application was carried out in aqueous buffers, it may be interesting to test this in low water media, wherein, mass transfer constraints play an even bigger role. Arsenault et al., (2011) [50] used chitosan as a poly functional molecule to crosslink free accessible carboxyl groups in laccase using the well known carbodiimide chemistry. One of the known applications of laccase is in dye removal from waste water. The CLEAs of laccase were found to show higher

stability towards a chosen waste water sample. However, the stability towards chemical denaturants as compared to the free enzyme did not improve.

Winter et al., (2012) [51] imprinted sucrose phosphorylase with  $\alpha$ -glucosyl glycerol before cross linking to improve the specific activity with glycerol (as an acceptor of  $\alpha$ -glucosyl residues). Again these "iCLEA" were used in aqueous media for reversible phosphorylation of sucrose.

Talekar et al., (2012) [52] mixed amino-functionalized  $\text{Fe}_3\text{O}_4$  nanoparticles with alpha-amylase before initiating precipitation and crosslinking with glutaraldehyde. The intent was to prepare CLEAs which can be separated by magnetism. Also, it was argued that high amino group density on nanoparticle surfaces will substitute for a proteic feeder as alpha-amylase used had only few lysine residues. An interesting variation of the CLEA concept has been described by Gan et al., (2012) [53]. The adsorption of glucoamylase on thermosensitive crosslinked gelatin nanoparticles was carried out. The enzyme was released by swelling of the gelatin nanoparticles in the temperature range of 40-80°C which coincides with the appropriate temperature range at which amylase is used for starch hydrolysis. The swelling-shrinking of crosslinked gelatin nanoparticles was reversible; unfortunately the release of the enzyme was not. Hence, the design has little practical application. It may be interesting to recall the seminal work of Hoffman's group with beta-galactosidase immobilized on a smart poly-NIPAAm thermosensitive hydrogel [54]. That work is not quoted by Gan et al., perhaps because it did not involve any crosslinking while making the smart hydrogels nor the size of the poly-NIPAAm gels were in the nano range. In that system, the enzyme was not released. However, the thermal cycle converted the immobilized enzyme into a 'pump' who threw out the product; thus relieving the product inhibition!

CLEAs, just like CLEC, do not involve any support for immobilization, hence CLEAs and CLEC represent examples of carrier-free immobilization leading to high volumetric activities.

### Protein coated microcrystals (PCMC)

Kreiner et al., (2001) [55] reported a "method for high activity biocatalyst preparation" called enzyme coated microcrystals or protein coated microcrystals. In view of latter, the acronym PCMC is generally used for such preparations. In the preparation protocol, an aqueous solution of a protein is mixed with a concentrated solution of an excipient such as salt (eg.,  $\text{K}_2\text{SO}_4$ ), a sugar or an amino acid is added dropwise with mixing to a water miscible organic solvent like propanol. Micron sized crystals of the excipient with the enzyme (about 8% w/w) coated on the surface are obtained. In the case of Subtilisin Carlsberg (SC), PCMCs gave 100 times higher initial rate than "pH tuned" freeze dried preparation [55]. The recommended best way of storing PCMCs was in the precipitating solvent itself. Many commercial lipases with PCMC formulation also showed enhanced initial rate as well as %

conversion during kinetic resolution of (R, S)-phenylethanol by converting it to (R)-phenyl ethyl acetate with vinyl acetate as donor. No change in enantioselectivity was observed though the lipases (as received from the vendor) tried already showed  $E > 200$ . Farrell et al., (2006) [56] reported that (a) PCMCs show no diffusion control (b) PCMC of SC when evaluated by active site titration with PMSF (using a newly developed ESI-MS based method) showed much greater accessibility than the corresponding lyophilized sample of the enzyme; 43% vs. 14% respectively at  $a_w \sim 0$ . At  $a_w = 0.5$  (~ 10% v/v  $\text{H}_2\text{O}$  in ethanol), PCMCs of SC showed 98% inhibition with PMSF (against 62% for the lyophilized sample). This shows that integrity of the active site is not preserved during lyophilization of enzymes.

Kreiner et al., (2005) [57] extended the study of the performance of PCMC formulation in organic solvent to oxidoreductases: Horse liver alcohol dehydrogenases (HLADH), catalases and peroxidases from both soybean and horseradish. While PCMCs in general showed enhanced reaction rates, the highest increase of 50 times was reported for HLADH. In case of HLADH, the coenzyme also precipitates along with PCMC formation. The storage and operational stabilities of PCMCs of CAL B and SC have also been described [57]. Transesterification activity assay was used in this work for evaluating all stabilities. As mentioned for PCMC of SC, PCMCs of CAL B also showed high storage stability when stored in propanol (containing 1% w/w  $\text{H}_2\text{O}$ ). Both PCMCs could be stored at room temperature and even after 1 year only 10% loss in activity was observed. When propanol (1% w/w  $\text{H}_2\text{O}$ ) was used as a reaction medium, SC-PCMC could be reused four times with only 16% loss in activity. On the other hand, these PCMCs lost activity rapidly (>50%) within 4 hours in a continuous flow reaction if THF and acetonitrile (both with 1% w/w water) were used as the reaction medium. Shah et al., (2007) [58] examined the PCMC of BCL by atomic force microscopy (AFM) which confirmed the enzyme coating over  $\text{K}_2\text{SO}_4$  microcrystals. The PCMC gave 96% conversion of jatropha oil to biodiesel in 90 min, whereas free enzyme gave only 8% conversion under similar conditions.

Earlier [59], it was shown that use of solid state buffers instead of  $\text{K}_2\text{SO}_4$  as the core for preparing PCMCs of SC and chymotrypsin resulted in 3-fold increase of activity in acetonitrile. On the other hand, this result was not observed with lipases. Authors believe that this may be due to lower acid sensitivity of lipases. Shah and Gupta (2007) [2] investigated the use of PCMCs of CRL and BCL for kinetic resolution of ( $\pm$ ) phenylethanol in the ionic liquid [Bmim][PF<sub>6</sub>] by transesterification with vinylacetate. The performances of PCMCs were also compared with EPROS, PREP and CLEA formulations (Table 1). PCMC of CRL was best and at 25°C gave an E-value of 453 (with 44% conversion in 12 hours). For BCL, EPROS, CLEA and PCMC all worked well with 50% conversion and 99% ee<sub>p</sub> ( $E > 1000$ ) in just 2 hours. To put this result in proper perspective, free BCL gave only 8% conversion under the same conditions.

**Table 1. Kinetic resolution of 1-phenylethanol in [Bmim][PF<sub>6</sub>] catalyzed by different preparations of BCL at 25°C [Reproduced from Shah and Gupta, 2007 [2] with permission from publishers].**

Entry	Lipase Preparations	Time (h)	<sup>a</sup> Conversion (%)	<sup>a</sup> ee <sub>p</sub>	<sup>a</sup> ee <sub>s</sub>	<sup>b</sup> E
1	pH Tuned	1	5	99	5	114
2	pH Tuned	2	8	99	8	187
3	EPRA	1	31	99	45	314
4	EPRA	2	49	99	96	736
5	AREP	1	44	99	78	415
6	AREP	2	50	99	99	>1000
7	CLEA	1	41	99	69	432
8	CLEA	2	50	99	99	>1000
9	PCMC	1	40	99	66	405
10	PCMC	2	50	99	99	>1000

<sup>a</sup>Conversion and ee's (%) were estimated by HPLC.

<sup>b</sup>E=ln[1-c(1+eep)]/ln[1-c(1-ees)], where c=ees/(ees+eep).

### Crosslinked protein coated microcrystals (CLPCMC)

Shah et al., (2008) [60] described preparation of CLPCMCs of SC, CRL and BCL by crosslinking of corresponding PCMCs with glutaraldehyde. The CLPCMCs were found to show higher reaction rates than PCMCs for transesterification in different organic solvents which included polar solvents like acetonitrile and THF. The highest increase (133%) in initial rates for SC over the corresponding PCMC was observed in *t*-amyl alcohol. For CRL and BCL, the highest increase in initial rates were seen in octane (445%) and THF (180%) respectively. In the case of transesterification reaction catalyzed by SC formulations at 70°C, while PCMC required 0.5% (v/w) water for optimum conversion, CLPCMC required no addition of water for comparable conversion in the same time period. The obvious advantage of being able to work with much lower water content was that no hydrolysis product was formed with the CLPCMC [60]. CLPCMC design is beginning to be tested in different laboratories. Yan et al., (2011) [61] used CLPCMC of lipase from *Geotrichium* species for catalysing biodiesel from waste cooking oil. It is very unfortunate that Yan et al., (2011) [61] referred to the earlier paper of Shah et al., (2008) [60] as merely PCMC and implied that they were describing a novel biocatalyst design. Recently, Solanki and Gupta (2011) [62] have further refined the CLPCMC design and showed that CLPCMC prepared from BCL after modification with pyromellitic dianhydride (PMDA) worked well even in DMF. In a first report of an enzyme formulation which works reasonably well even in DMF, it was shown that an initial rate of 7.2 nmol min<sup>-1</sup>mg<sup>-1</sup> could be observed at 5% (v/v) water content for transesterification of tributyrin with hexanol. The unmodified pH tuned lipase showed no activity at all in DMF.

### Three phase partitioning (TPP) of enzymes

TPP is a bioseparation strategy which consists of mixing in a salt (generally ammonium sulphate is used) and an organic solvent (*t*-butanol has been most frequently used) in appropriate

amount to an aqueous solution of a protein. In less than one hour or so, under gravity or gentle centrifugation, three layers separate out. The protein/enzyme form an interfacial precipitate between upper *t*-butanol rich layer and lower water rich layer [63]. Hence while its name has the word "partitioning" because of the early conceptual development and applications, it is essentially a precipitation technique.

Roy et al., (2004) [64] showed that subtilisin subjected to three phase partitioning gave higher initial rates for transesterification in octane and *t*-amyl alcohol. When the precipitate was dried by lyophilization in the presence of a cryoprotectant and a lyoprotectant [1,64,65], the overall increase in initial rates (as compared to untreated enzymes) was ~1200-1800 times. Presumably, enhanced conformational flexibility was at least a contributing factor to this enhanced catalytic efficiency. It is well established that enzyme conformation tends to be more rigid in such solvents [66]. The catalysis requires numerous conformational changes at various steps and higher rigidity or stability often comes out at the cost of catalytic efficiency. Earlier X-ray diffraction results with TPP treated Proteinase K had shown that the enzyme was considerably more flexible after this treatment [67].

Subsequently, Shah and Gupta (2007) [32] showed that TPP-treated SC also showed 10,000 times increase in initial rates (over lyophilized powders of untreated enzyme) in the ionic liquid [Bmim][PF<sub>6</sub>]. Obviously this simple approach has been underexploited and it is possible that we will see more interesting result with this simple treatment. Perhaps, one needs to gain greater understanding about structural consequences of TPP treatment of enzymes. Recent results show that TPP treatment does not always result in increase in conformational flexibility [68].

Rather et al., (2012) [68] studied the structural consequences of TPP treatment using alpha chymotrypsin as a model enzyme. It was found that alpha-chymotrypsin subjected to TPP formed soluble aggregates. Nevertheless, these aggregates had higher activity in both aqueous and non-aqueous conditions.

### Catalytic promiscuity in nearly anhydrous organic solvents

Hult and Berglund (2007) [69] classified enzyme promiscuity into three classes: condition promiscuity, substrate promiscuity and catalytic promiscuity. Enzymes carrying out different catalytic activities (from those in aqueous buffers) in nearly anhydrous organic solvents can be said to exhibit condition promiscuity (Figure 2). In that sense whole of this review pertains to enhancement of condition promiscuity of enzymes in such media! The substrate promiscuity refers to the fairly well known phenomenon of broad substrate specificity of enzymes. It is catalytic promiscuity which is a relatively more recently discovered phenomenon wherein enzymes catalyze chemical reactions other than the ones which they normally are known to catalyze. These chemical reactions are distinct enough to involve different transition states. While

**(A) In conventional aqueous buffers**

**Hydrolysis**



**(B) In non aqueous media**

**Esterification**

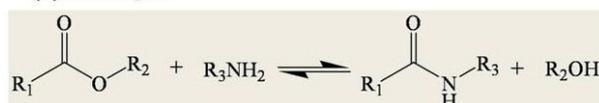


**Transesterification**

**(a) Acidolysis**



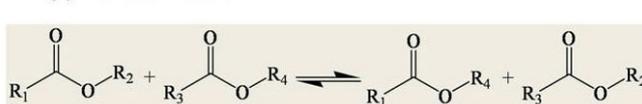
**(b) Aminolysis**



**(c) Alcoholysis**



**(d) Interesterification**



**Figure 2.** Condition Promiscuity shown by Lipases (A) in conventional aqueous buffers (B) in low water media.

considerable amount of work has been already reported on "induced catalytic promiscuity" which involves enzymes engineered by protein engineering and directed evolution methods [69,70] we will again restrict ourselves to "accidental catalytic promiscuity" shown by wild enzymes in low water containing organic solvents. As Busto et al., (2010) [70] have recently reviewed this as well extensively, only brief treatment will be provided here with a focus on the current status of catalytic efficiency in such cases. Hydrolases, especially lipases, have been the focus of attention in many studies which report catalysis of C-C bond or C-heteroatom bond. Larger percentage of these studies has involved aqueous-organic co-solvent mixtures as reaction medium rather than nearly anhydrous organic solvents [70]. However, some interesting results in low water containing organic solvents as reaction medium have also been reported.

Li et al., (2008) [71] reported that porcine pancreatic lipase catalysed aldol condensation between substituted aromatic aldehyde with acetone in a solvent free system. While the reaction could be observed under nearly anhydrous conditions with fairly good enantioselectivity ( $ee=43.6\%$ ) optimum water

concentration was 20% (v/v) for obtaining nearly 50% yield in 75 hours. Presumably, enantioselectivity was much lower at higher percentage conversions. Majumder et al., (2009) [72] showed that Novozyme-435 could catalyze a condensation reaction between a cyclic diketone with acetaldehyde. The latter was produced from vinyl acetate *in situ* enzymatically under low water conditions. The reaction medium was "solvent free" but with 10% DMF or pyridine as the "co-solvent". The reaction required just 4 hours for 100% conversion.

Cai et al., (2004) [73] described Michael addition of imidazole with acrylates by an alkaline protease from *Bacillus subtilis*. The yield varied from 62-76% with pyridine as the solvent at 50°C in 72 hours. Such products are useful in the treatment of protozoal infections. A more extensive study of this reaction was also reported later [74]. Liu et al., (2008) [75] screened several commercially available lipase preparations for carrying out aza-Markonikov addition of N-heterocycles to vinyl esters in organic solvents. Lipase from *Mucor javanicus* worked best while several other frequently used lipases *Candida antarctica* lipase B, *Candida rugosa* lipase and *Mucor miehei* lipase showed very poor activity. The addition took place at C=C and not C=O group of vinyl acetate. DMSO and DMF (to a lesser extent) were the best reaction media whereas in non polar solvents like hexane poor activity was observed which presumably was largely due to poor solubility of substrates. The work also reports combination of catalytic promiscuity (aza-Markonikov addition) with a normal reaction (transesterification) to produce octane-1, 2-propanediol derivatives of N-heterocycles. These are important drug derivatives.

Priego et al., (2009) [76] described use of medium engineering to control the chemoselectivity between a Michael addition and the aminolysis product when benzyl amine and methyl crotonate were reacted in the presence of CAL B. Whereas hydrophobic solvents favoured Michael addition, aminolysis occurred in more polar solvents.

Earlier, Qian et al., (2007) [77] reported that bacterial acylases could also catalyze aza-Michael addition of aromatic N-heterocycles to  $\alpha$ ,  $\beta$ -unsaturated compounds in DMSO and DMF. Reasonable yields >80% were obtained in several cases with reaction times in the range of 0.5-6 hours. In general, reaction times tend to be long in most of the promiscuous reactions: so  $\leq 10$  hours reaction times reported (for maximum and high conversions) in this work [77] and by Majumder et al., (2009) [72] are noteworthy. Wu et al., (2005) [78] had also used an acylase- Penicillin G acylase for catalyzing Markonikov's addition of allopurinol to vinyl ester. An interesting observation is that even for a promiscuous reaction, an immobilized enzyme gave higher initial rate than the free enzyme in DMSO. The conversion to give an optically active product was ~60% in 144 hours with vinyl acetate. Earlier, Torre et al., (2004) [79] reported Michael addition of secondary amines to acrylonitrile in a solvent free system using different preparations of CAL B. Both Wu et al., (2005) [78] and Torre et al., (2004) [79] discussed the possible mechanism for the

enzyme catalyzed reaction which does involve active site of the enzymes. Lou et al., (2008) [80] showed that selectivity between Markonikov addition and anti-Markonikov addition of thiols to vinyl esters catalyzed by CAL B can be altered by changing the reaction medium. More polar solvents DMF favored anti-Markonikov addition whereas di-isopropyl ether favored Markonikov addition. The work, incidentally, outlines a synthetic route to C-S bond formation using lipases in low water containing organic solvents. Carboni-Oerlemans et al., (2006) [81] reviewed synthesis and use of peroxy-carboxylic acids in anhydrous media using hydrolases in general. Several interesting results have been highlighted. Yadav and Devi (2002) [82] showed the synthesis of a peracid using CAL B in toluene. The peracid formed *in situ* has also been shown (a) to carry out Prileshajev oxidation of double bonds (b) S-oxidation of Penicillin G (c) Baeyer Villiger oxidation.

It is thus important to note that even with commercially available enzymes; many examples of promiscuity of enzymes other than lipases are available. At present, the amount of enzyme used is very high. Hence, these results are included more to show that such systems constitute a very meaningful target for investigating use of high activity preparations of enzymes.

### Nanobiocatalysts

Large surface area to volume ratio makes nanosized materials as very attractive carriers for enzyme immobilization. As a comprehensive review has been recently published [83] on this topic, only few illustrative examples along with some updates will be given here.

To start with, Vertegel et al., (2004) [84] showed that size of the silica nanoparticle influenced the structure and activity of lysozyme. While this work had nothing to do with enzyme catalysis in low water media, it is worth mentioning as this is of fundamental importance and such data is not always available with various systems. Immobilization of *Candida rugosa* lipase (CRL) on multi walled carbon nanotubes (MWNTs) by adsorption led to 2.2 and 14 fold increase in transesterification activity in *n*-hexane and [Bmim][PF<sub>6</sub>] respectively [85]. The immobilized lipase also showed high enantioselectivity in kinetic resolution of ( $\pm$ )-1-phenylethanol in [Bmim][PF<sub>6</sub>]. Similar work but using single walled carbon nanotubes (SWNTs) with several enzymes reported high activity in organic solvent than using conventional supports [86]. Both CRL and *Burkholderia cepacia* lipase (BCL) when adsorbed on zirconium nanoparticles modified by surfactants could be reused for 8 cycles [87]. The immobilized enzyme also showed higher enantioselectivity for the resolution of (R,S)-Ibuprofen and (R, S)-phenylethanol in isoctane.

Recently, Solanki and Gupta (2011) [88] have described the simultaneous purification and immobilization of CRL on Fe<sub>3</sub>O<sub>4</sub> nanoparticles after coating the latter with polyethylene imine (PEI). The immobilized lipase showed 110 times increase in initial rates of transesterification of ethyl butyrate with

butanol in hexane over the commercial preparation. Use of a spinning cell accessory with circular dichroism (CD) instrument allowed recording CD spectra of the immobilized enzyme as well. No significant change in secondary structure upon immobilization could be detected. The immobilized enzyme could also resolve ( $\pm$ )-1-phenylethanol (by transacetylation with vinylacetate) with 99% ee<sub>p</sub> and E=412. The commercial preparation did not show any significant conversion during the time period of 24 hours.

### Conclusion and future perspectives

While there are numerous instances where enzymes have been employed in various industrial sectors [89,90], however, their use in low water media for large scale organic synthesis is underexploited. There is an understandable diffidence on the part of organic chemists to switch over to these less familiar catalysts. None of the high activity preparations described here involve any skill or technology which is beyond an organic chemist or an organic chemistry laboratory.

Undoubtedly, given their huge role in development of greener chemical process, we will see larger applications of enzymes in low water media in the industry.

### Competing interests

The authors declare that they have no competing interests.

### Authors' contributions

Authors' contributions	MNG	JM	DM
Research concept and design	√	√	√
Collection and/or assembly of data	√	√	√
Data analysis and interpretation	√	√	√
Writing the article	√	√	√
Critical revision of the article	√	√	√
Final approval of article	√	--	--
Statistical analysis	--	√	--

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