



Texture irregularity of pretreatment contrast-enhanced computed tomography as a predictive factor for developing esophageal stricture after chemoradiation therapy for esophageal squamous cell carcinoma

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Abstract

Background: This study was performed to evaluate whether pre-treatment contrast-enhanced CT (CECT) images have predictive information about esophageal stricture after chemoradiation therapy (CRT) for esophageal squamous cell carcinoma (SCC).

Methods: This retrospective study was performed with institutional review board approval. Nineteen patients with advanced esophageal SCC who underwent CRT at our institution from February 2006 to July 2014 were selected for this study. Eight of the 19 patients (42.1%) developed esophageal stricture after CRT. In each patient, a three-dimensional data set was created from the pre-treatment CECT images, and the esophageal lesion was delineated as one structure. Volumetric and texture analyses were performed for each structure. The mean and standard deviation (SD) of CT number was examined in texture analyses.

Results: On volumetric analysis, there was no difference in the mean volume of the esophageal lesion between the patients with and without stricture (15.1 vs. 14.7 mL, respectively, $P=0.9435$). On texture analyses, there was no difference between in the mean value of mean CT number between the patients with and without stricture (74.8 vs. 74.2 HU, respectively, $P = 0.9326$). On the other hand, the mean value of SD of CT number in the patients with stricture was significantly greater than that in the patients without stricture (24.8 vs. 19.5 HU, respectively, $P=0.0221$).

Conclusion: The SD of CT number (i.e., texture irregularity) in esophageal lesions in pre-treatment CECT could be a predictive factor for development of esophageal stricture after CRT for esophageal SCC.

Keywords: Chemoradiation therapy, esophageal stricture, texture analysis, predictive factor

Introduction

Chemoradiation therapy (CRT) is widely accepted as the standard of care for esophageal squamous cell carcinoma (SCC) [1,2]. Although advanced esophageal carcinoma is incurable with radiotherapy alone [1], it has been shown to be curable with CRT [3]. Surgery has been recognized as the most effective treatment for esophageal SCC, but the therapeutic effect of CRT is not necessarily inferior to that of surgery [4]. CRT is the only way for patients with inoperable esophageal SCC to survive [5]. On the other hand, CRT is well known for its toxicities [6]. Esophageal stricture is one such toxicity, which usually appears during or just after the course of CRT [7] and reduces the

quality of life (QOL) of the patients. However, there have been few studies related to this toxicity. Especially, there have been few studies related to prediction of stricture development [7].

In this study, we analyzed pre-treatment contrast-enhanced CT (CECT) imaging of esophageal SCC and evaluated whether it yielded predictive information about esophageal stricture after CRT.

Materials and methods

Patients

All procedures followed were in accordance with the ethical standards of our institutional review board and with the Hel-

sinki Declaration of 1964 and later versions. Our institutional review board approved this retrospective study and waived the need for informed consent from the patients.

Candidates were selected for this study from the patient database of our institution according to the following selection criteria: diagnosis of advanced esophageal SCC, completion of planned CRT between February 2006 and July 2014, acquisition of analyzable CECT images in equilibrium phase before treatment, and complete response of the esophageal lesion to therapy. "Advanced" was defined as the presence of T3 or T4 in staging according to the 7th edition of the Union for International Cancer Control (UICC) tumor, node, metastasis (TNM) staging system [8]. The response of the esophageal lesion was confirmed by both endoscopy and CT scan several weeks after completion of therapy. We judged that the lesion responded completely when the endoscopy revealed disappearance of the intraluminal tumor and the CT scan revealed definitive shrinkage of the whole tumor. During the above period, 58 patients with advanced esophageal SCC were treated with CRT at our institution. Among these patients, 19 patients met all of the selection criteria above and were selected for this study. The residual 39 patients were excluded because they didn't meet at least one of the criteria. Eight of the selected patients (42.1%) developed esophageal stricture, which was confirmed endoscopically within 3 months after completion of therapy.

Analysis

All patients underwent pretreatment CECT scan of the entire thorax for diagnostic purposes. All CT studies were performed with multi-detector row CT scanners at our institution. Iodinated contrast agent (100 mL of 300 mg/mL) was injected at 3 mL/s in each CT study. The CECT images in the equilibrium phase were chosen for analysis. They were reconstructed from the raw data acquired essentially 90 s after injection of the contrast agent, with a section thickness of 2.5 mm.

Both volumetric and texture analyses of the images were performed. This required creation of analyzable three-dimensional data sets from the images. A radiation treatment planning system (RTPS) fulfilled this requirement. The images were transferred to the RTPS (Eclipse version 11.0; Varian Medical Systems, Palo Alto, CA), and the RTPS converted the images into three-dimensional voxel data sets. The size of the voxel was set at 2.5 mm. The data sets were analyzed on the same RTPS, and volumetric and the texture analyses were performed.

At the beginning of these analyses, the esophageal lesion was contoured on the RTPS. The entire lesion was manually delineated as one structure in contouring. Intraluminal air was carefully deleted from the structure to reduce bias. A researcher (KK) contoured lesions of all patients. An example is shown in **Figure 1**.

Parameters for analyses were acquired from the results of the calculation performed automatically by the RTPS on each

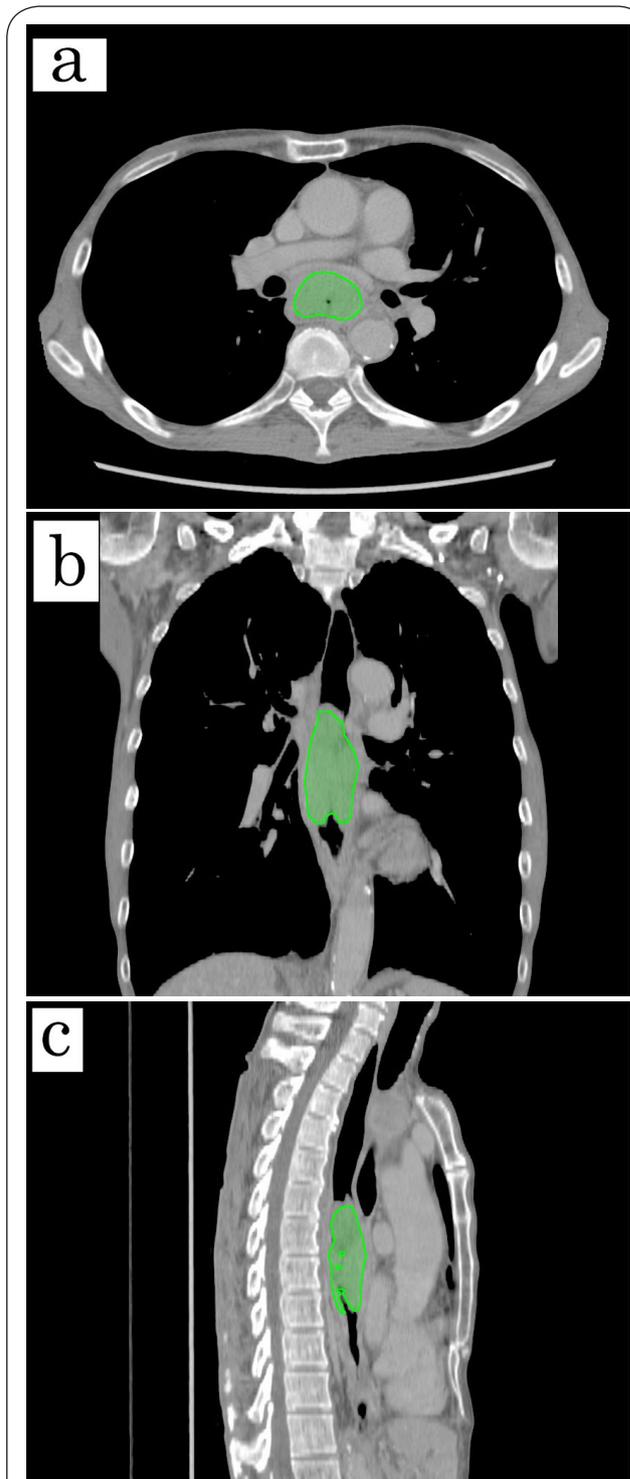


Figure 1. An example of an esophageal lesion contoured on the radiation treatment planning system.

- a) Axial image.
- b) Coronal image.
- c) Sagittal image.

structure. The RTPS calculated the parameters as follows: the volume of the structure (mL), the mean value and standard

deviation (SD) of the CT number of the structure (Hounsfield units, HU). Volumetric analysis was performed with the volume of the structure and texture analysis with the mean value and SD of the CT number of the structure. The relationships between these parameters and the occurrence of esophageal stricture after CRT for esophageal SCC were evaluated.

The parameters were compared with the paired *t* test. The level of significance was set at 0.05. Receiver operator characteristic (ROC) curves were plotted to evaluate the capability of the parameters to predict the development of stricture. Areas under the curve (AUC) and cutoff values were also calculated. These statistical analyses were performed with the statistical software JMP version 9.0.0 (SAS Institute Inc., Cary, NC).

Results

Table 1 shows the characteristics of the patients with and without stricture. There were few differences between the two groups. Patients in both groups received 50-60 Gy of radiation in conventional fractionation and platinum-based chemotherapy.

On volumetric analysis, no differences were found in mean volume of the esophageal lesion between patients with and without esophageal stricture (15.1 vs. 14.7 mL, respectively, $P=0.9435$). On texture analysis, no differences were found in mean CECT number between patients with and without stricture (74.8 vs. 74.2 HU, respectively, $P=0.9326$). On the other hand, the mean value of SD of the CECT number was significantly higher in patients with than without stricture

(24.8 vs. 19.5 HU, respectively, $P=0.0221$). ROC analysis of SD of the CECT number as a predictive factor for stricture was performed. The AUC was 0.81, and its cutoff value was 23.3 HU. The ROC curve of SD of the CECT number is shown in **Figure 2**.

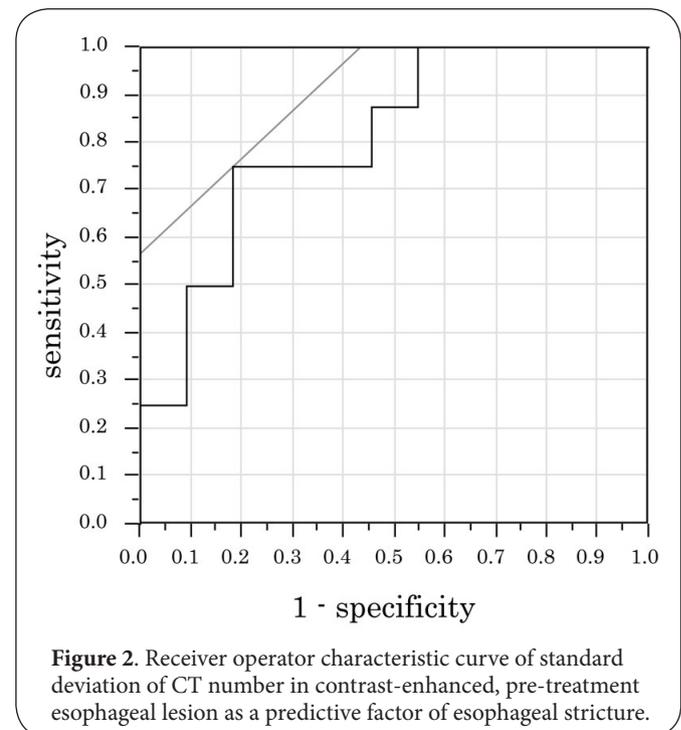


Figure 2. Receiver operator characteristic curve of standard deviation of CT number in contrast-enhanced, pre-treatment esophageal lesion as a predictive factor of esophageal stricture.

Table 1. Characteristics of patients with and without stricture.

	Patients with stricture	Patients without stricture
Age (yrs.)	59 – 76 (median: 65)	48 – 77 (median: 66)
Gender		
Male	8	9
Female	0	2
Performance status*		
0	4	7
1	4	4
Tumor location		
Cervical	2	2
Thoracic	6	9
T stage		
T3	5	5
T4	3	6
Radiation dose (Gy)	50 – 63 (median: 60)	50.4 – 63 (median: 60)
Chemotherapy		
Cisplatin + 5-FU	5	7
Nedaplatin + 5-FU	3	4

*Performance status according to the Eastern Cooperative Oncology Group.

Discussion

There have been a number of recent studies related to extracting quantitative information from medical images [9]. In this approach, several predictive biomarkers for esophageal carcinoma have been detected. Imanishi et al. reported that early response to chemoradiation therapy of advanced esophageal SCC could be predicted by diffusion-weighted magnetic resonance imaging [10]. Hayano et al. reported similar results with perfusion CT imaging [11]. However, there have been few studies regarding factors derived from medical images to predict esophageal stricture after CRT for esophageal SCC. Atsumi et al. reported that morphological features in medical images could be predictive factors for stricture [12], but there have been no previous reports regarding predictive factors in terms of the texture of CT images. To our knowledge, our report is the first one that the texture of CT images has predictive information about esophageal stricture after CRT for esophageal SCC.

There is mounting evidence related to texture analysis on medical images. Several studies have shown that some factors in texture analysis could be used as biomarkers in some cancers, including esophageal cancer [13,14]. Yip et al. reported intriguing results of texture analysis on CECT images of esophageal

carcinoma treated with definitive CRT [15]. They showed that heterogeneity of CECT images was significantly associated with overall survival time of patients with the disease. The results of our study may be related to their observations, because the occurrence of esophageal stricture may affect the prognosis of the patients. Our findings may add to the potential of texture analysis on CECT images of esophageal carcinoma.

One of the distinctive features of our study was the use of RTPS. Recent RTPS have been equipped with highly sophisticated tools for delineation. Structures as regions of interest can be delineated with these tools reproducibly. Moreover, almost all commercially available RTPS have the ability to calculate the mean value and SD of CT numbers in regions of interest in three dimensions [16]. Hence, users of standard RTPS can perform simple three-dimensional texture analysis on their own system, as shown in this article. There are many other ways of texture analysis utilized for esophageal cancer [13,14]. There might be more suitable ways of analysis than ours. Unfortunately, our RTPS can only perform the simple analysis. Evaluation by other ways of analysis is beyond our current capability. We hope this will be performed in the future studies.

The rationale for the association of the SD of the CT number in the pre-treatment CECT with esophageal stricture after CRT is difficult to clarify, but a hypothesis could be described.

High SD of CECT number in a tumor means that the tumor is irregularly enhanced with the contrast agent. This irregularity may represent the immaturity of the microcirculation in the tumor [17]. Tumors with immature microcirculation may be susceptible to hypoxic changes, which are known to induce fibrosis [18]. Hypoxia-induced fibrosis may be augmented by chemoradiation and result in esophageal stricture. Thus, the SD of the CT number in pre-treatment CECT may represent the susceptibility to hypoxia-induced fibrosis in the esophageal region after CRT for esophageal SCC.

Prediction of esophageal stricture before treatment may aid in maintaining the patient's QOL after CCRT. Severe esophageal stricture causes dysphagia and aspiration pneumonia, and is sometimes a threat to patients' lives [12]. Identification of high-risk patients through prediction of stricture after CCRT may facilitate focused follow-up and appropriate interventions to save their lives.

Our study had several limitations. First, the sample size was small. Larger sample size is needed in order to perform more rigorous analysis. In addition, it is impossible for us to perform subset analysis, e.g. dividing the patients according to their tumor locations. Second, the level of esophageal stricture was not evaluated quantitatively. Third, different CT scanners were used in the cohort included in our study. There was some possibility that this might have affected the texture of the images. Further studies are warranted for validation of the results presented here.

Conclusion

The SD of the CT number (i.e., the irregularity of the texture)

in pre-treatment CECT was significantly associated with the development of esophageal stricture after CRT for esophageal SCC and could be a predictor of toxicity.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

Authors' contributions	KK	HI	AF	TO	MK
Research concept and design	✓	--	✓	--	--
Collection and/or assembly of data	✓	✓	--	✓	--
Data analysis and interpretation	✓	--	--	--	--
Writing the article	✓	--	--	--	✓
Critical revision of the article	✓	--	--	--	--
Final approval of article	✓	✓	✓	✓	✓
Statistical analysis	✓	--	--	--	--

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