



Neural substrates of Motor Learning Strategies in Stroke

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Abstract

Stroke neuro rehabilitation rely on human and animal studies about learning and adaptation. The physiological principles underlying them are based on experience dependent neuronal plasticity. The paper will revisit different motor learning strategies which can be administered in stroke (acute & chronic). This summary also encompasses various neurorestorative interventions like CIMT, mirror therapy, VR, haptics, brain stimulation, task-oriented training, massed and repetitive practice experimented for stroke with most robust reviews and recent trials. We also expand this review with our experience on both acute and chronic stroke recovery patterns amalgamated with functional imaging.

Keywords: Functional imaging, neural control, stroke, motor rehabilitation

Introduction

Our introductory message for this manuscript commences with the famous quote of biogenesis "Ontogeny recapitulates phylogeny" coined by the eminent scientist Earnst Hackel. This enroutesus to understand that evolution of human body is believed to be on phylogenyi.e. 'evolutionary lineage of species', and ontogeny which 'purports the progression of organisms' during the embryonic development [1].

Review

CNS injury, learning and neurorestoration

According to the theory of Neural Darwinism [2], the nervous system is capable of developing synaptic connections, from one nerve cell to another, which are strengthened or weakened depending on environmental stimuli, resulting in a huge number of repertoires of variance [3,4]'. This adaptation of human body is a continuous process which is observed as a learning process after central nervous system (CNS) injury. It, in a layman notion is teaching 'an old brain new tricks of adaptation' and learning. In the history of stroke recovery and evolution, maps are shaped during early life and remain quite stable in adulthood. Interestingly, they can change in the adult spontaneously after brain

injury like stroke or by experience dependent plasticity (such as after an intensive training). Stroke induces production of various factors of neural regeneration, sprouting and plasticity, such as myelin components (Nogo-A, myelin-associated glycoprotein), and guidance molecules (ephrins, semaphorins, netrins) which aid in neuro functional recovery post stroke [5].

Motor relearning and its neural connections [6,7]

Literature augments many theories describing how multiple systems come together to produce a functional movement. The first theory is the motor program theory which is based on central control of movement instructions and the second is based on dynamic self-organization of multiple sub systems with a meaningful goal.

Another theory was Schmidt's 'schema theory' where only abstract forms of movements can be stored in memory through generalized motor programs (GMPs). Motor learning can be classified into two main categories: (i) motor sequence learning that is related to the acquisition of motor skills, and (ii) motor adaptation that is capacity to compensate for environmental changes.

As explained by Newell &Verhoeven 2015 [8] that learning

and relearning principles may involve some different neural pathways yet there are some common set of motor dynamics in every human brain which work towards a movement. Some of the patterns are preserved, new patterns are learned and some old are relearned. The inherent individual differences of humans and environments being fed are also one of the major contributors for recovery after stroke apart from the stroke topography. Motor priming is a well known form of implicit learning that enhances efficacy of function post stroke.

Motor learning and neural rehabilitation

The techniques of neurorehabilitation by and large, unani- mously are based on motor learning processes have been classified into three major stages; i) early motor learning is also called the cognitive or verbal-motor stage, and is char- acterized by considerable improvement of a novel task, but inconsistencies between movements, ii) during the associa- tive, the movements are more consistent; iii) during the au- tonomous stage, learners automatically perform the task, and may even do dual tasking during one task's execution [9,10].

Skill acquisition has been defined as "a set of processes as- sociated with practice leading to relatively permanent changes in the capability for responding" [11,12]. These motor skills are learned through practice from infancy to adults. In a previous meta-analysis by Maier et al 2019 [5], massed & structured practice, task-specific, variable practice, implicit and explicit learning, multisensory stimulation, knowledge of results & performance (KP KR), movement representation, recruitment & focusing and promotion of the use of the affected limb, are some of the principles for neurorehabilitation based on the concept of learning.

Meta-analyses suggest that patients with stroke can learn implicitly with their unaffected side (95% CI[45.1 to 92.9], $p < 0.0001$), but not with their affected side [95% CI(-0.45 to 0.25), $p = 0.56$] but overall threshold did not reach a statisti- cal significance [13]. Motor recovery after stroke always fall short of 100% in kinematics and kinetics there by leading to substitutory and compensatory strategies being learned by the injured brain for simple and complex activities. It also depends on task (activities of daily living or complex tasks or skillful tasks) and the patient's occupation (athlete, an archer's skill acquisition will be different from a desk employee). Most of the motor skills require implicit learning unless the task is new [14,15].

After spawning through research articles, we found vari- ous neurorestorative interventions being experimented on stroke subjects like CIMT, mirror therapy (MT), (Virtual Real- ity), VR, Haptics, task oriented training, massed and repetitive practice [16].

Constraint Induced movement therapy (CIMT)

The original form of CIMT consists of intensive practice of the paretic upper limb aimed at enhancing task-specific use for up to 6 hours a day for 2 weeks known as shaping. The

unaffected arm is constrained with a mitt to promote the use (forced use) of the more impaired limb during 90% of the waking hours [17]. The pooled meta-analysis of 36 trials by Etoom et al (2016) found a significant effect of CIMT on upper extremity function with no significant effect at different du- rations of follow-up [18]. The aim of a very recent article on CIMT with neurotrophic growth factor upregulation reviewed some of the CNS biomarkers after stroke and their correlations with motor function outcomes in both humans and animals [19]. This review focused on 8 studies on acute and chronic stroke and proved to have increased brain metabolism and cerebral blood flow, secretion of GAP-23, HIF-1 α , VEGF and other neurotrophins [20]. We also administered CIMT with neuromuscular electrical stimulation (NMES) and rTMS (re- petitive transcranial magnetic stimulation) in chronic stroke subjects and observed short term benefits of CIMT resulting in gains in hand function and MEP pre and post therapy [21].

CIMT & neural correlates: CIMT is a specialized task- oriented training approach. Its specific strategy is to induce motor learning (practice specificity, feedback) and neuroplas- ticity (practice-induced brain changes arising from repetition, increasing movement complexity, motivation and reward) with intensive blocks of training. Modified CIMT protocols have been described with dosage regimens ranging from 0.5 to 6 h per day. Functional neuroimaging studies suggest that increased activity in the ipsilesional sensorimotor and primary motor cortex plays a role in the improvement of functional outcome after task-specific rehabilitation.

(ii) Mirror therapy (MT)/Mental virtual imagery/Action Observation Therapy (AOT)

A new interventional strategy, named action observation training (AOT) or mirror therapy, was proposed for upper limb motor rehabilitation after stroke, which involves action observation and action execution sequentially. In AOT, partici- pants observe the actions presented via videos or performed by other people. The subjects then repetitively stimulate and practice the observed actions [22].

Tsai-yu Shih et al worked on a study to check the effect of AOT and mirror therapy after stroke, an estimated total of 90 patients with subacute stroke received AOT, MT, or control intervention for a 3-week training period. The results provided scientific evidence of treatment effects and neural activity changes after AOT & MT and concluded that both the treatments are useful for modern neuro-rehabilitation [23].

A very recent review conducted on 3781 mirror therapy studies by Pandian et al 2019 showed an effective and feasible approach to rehabilitate post-stroke survivors in the acute, sub-acute, and chronic phases of stroke for definitive motor and sensory improvements [24]. One of our study included 20 patients with chronic stroke and 10 healthy controls for webcam based mirror therapy for 8 weeks. Patients had clinical examination, severity scores, functional MRI (fMRI) & diffusion tensor imaging (DTI) at baseline, 8 and at 24 weeks. All the

patients showed statistical significant improvement in Fugl Meyer and modified Barthel Index ($p=0.05$), also an increase in activation cluster of ipsilesional BA4, BA6 and inferior parietal lobule (BA 40) suggesting MNS activation. This innovation using webcam was patented in 2014 (Patent no; 1781/DEL/2014) [25].

Mirror therapy and neural underpinnings: Mirror therapy utilizes priming mechanism of learning through the ipsilesional brain motor pathway and activates the mirror neuron system (MNS) [8,26]. Based on findings in the academic literature and clinical expertise, (a) active range of motion (AROM) exercises, (b) reaching movement or object manipulation, (c) UE functional tasks can be practiced. Phase 1, the patients observe AROM exercises through video clips, phase 2 the patients observe tasks, depending on the patient's motor ability, through a video clip. There is moderate-quality evidence that mirror therapy is superior to sham therapy, control therapy (task-oriented training, bimanual exercises, symmetric training) or standard rehabilitation with regards to upper extremity impairments and disabilities. Effects of mirror therapy may persist till 6 months after treatment. Mental imagery on the contrary works on the principle of explicit learning. According to Schimdt, the desired motor program is activated by imagery leading to functional reorganisation in unaffected and affected hemispheres [7,8].

(iii) Virtual reality/Haptics in stroke rehabilitation

Virtual reality (VR) is a technological advancement which allows the users the experience of being surrounded by a computer-generated world which makes them interact as in a real environment [27]. A review by Laver et al included 35 new studies with small study sample sizes and varied interventions in terms of treatment and the devices used [28]. Results were not statistically significant for upper limb function (95% CI -0.05 to 0.20) when comparing virtual reality to conventional therapy. However, when virtual reality was used in addition to usual care (providing a higher dose of therapy for those in the intervention group) there was a statistically significant difference between groups (SMD 0.49, 0.21 to 0.77, 10 studies, 210 participants, low-quality evidence). Twenty-three studies reported that they monitored for adverse events and some studies relatively mild side effects [29].

We designed a VR based haptic-enabled Physiologically Aided (PA) Rehabilitation System for patients with upper limb movement disorders. The VR environment was augmented with tactile feedback by using haptic device (from Geomagic Inc.) with a pen type stylus that can be moved in 3 directions. This novel, multimodal and performance-sensitive exercise platform was experimented on 10 chronic stroke (>6 months) patients on unilateral shoulder abduction and adduction that are essential for the performance of daily living activities. The device resulted in greater accuracy and precision in hand activities and shoulder movements in stroke ($p<0.05$) [30,31].

Robotics is an upcoming field of neurorehabilitation in

stroke. It can be categorized as passive, active and active-assist. The passive tool conducts the movement support regardless of the user's voluntary effort resulting in repeated stretching [32]. The active device needs the voluntary effort of the user to activate movement assistance activation. Pie et. al carried out a survey of 233 physical therapists with suggested features such as the capability to adapt to the hand's movement, the ability to be used while in a seat, feedback to the user, the focus on practicing activities of daily living, the ability to operate at home [33]. Robot rehabilitation showed positive findings and results of the study were very promising. The challenge remains, however, to convert complicated and complex protocols into simple, low cost, customizable and user-friendly devices that can be used even in house settings.

Motor relearning and haptics:

The literature on VR highlights its motor relearning principles through repetition in an enriched environment, confidence through reinforcement and immediate feedback, and positivity through social interaction. Any gains that are obtained are specific to the task that is being trained (motor impairment) and do not extrapolate to upper extremity disabilities in daily life [34].

(iv) Task oriented training/repetitive arm training [35,36]

According to the review analysis, a total of 15 sessions of task-oriented training (5 times per week for 3 weeks) focussing on arm and hand function with a licensed physical therapist resulted in efficient arm and hand function post stroke. Task-oriented arm training involves the repetitive practice of goal-directed, functional movements. Tasks focus on proximal arm control (i.e., shoulder/elbow movement), hand grasp (gross grasp, fine motor), object manipulation (tool use, movement of objects within the hand), or the combination of proximal control and hand grasp/object manipulation. Trainings are designed to be individualized, challenging, and progressive in nature. The difficulty level of the motor training was progressed across sessions through changes in task set-up (e.g., moving from reaching at midline to reaching across midline), task demands (e.g., increase weight of object lifted), and the task itself (e.g., reach and pick up a cup with a gross grasp to reach and pick up a coin with a pincer grasp while holding additional coins in the same hand).

TOT & neural dependence: Repetitive arm training leads to implicit and explicit learning in the motor cortex. There is bilateral activation of cerebral cortices followed by brain stem and cerebellar networks [37]. Studies on practice scheduling in motor learning area have been developed based on the assumption that random practice facilitates the transfer and retention of motor skills by adding parameters (e.g. schema enrichment) or strengthening of representations (e.g action plan) of practiced skills in the memory.

Integration of Therapeutic Approaches

There has been good data on combination therapy being

used for stroke functional and motor recovery. Some of the modules adopted are:

a) Cognitive-motor interference: Cognitive-motor interference (CMI) occurs when simultaneous (dual-task) performance of a cognitive and a motor task results in deterioration of performance in one or both tasks, relative to performance of each task separately. The pattern of CMI depends on several factors like types of tasks; levels of difficulty, instructions regarding which, if any, task to prioritize; and the characteristics of the person performing the task (e.g., cognitive and motor abilities, fear of falling) [38]. Jody A. Feld observed the patterns of cognitive-motor dual-task interference on stroke patients and concluded that dual-task declines in gait speed were highly prevalent at the time of hospital discharge and were often associated with reciprocal dual-task declines in the cognitive task, suggesting widespread loss of gait automaticity [39].

b) Motor-sensory integration: Clinical evidence has confirmed that combining sensorimotor training modality is more effective than conventional motor-oriented approaches. Clinical rehabilitation technologies based on sensory input are Bobath technique, proprioceptive neuromuscular facilitation (PNF) approach, Roods technique, cognitive-motor training, and music-based intervention, during gait training, rhythmic sound stimulation can significantly improve a patient's walking function, especially in terms of posture control [40]. Altenmüller et al have administered a music-based intervention including self-paced movements of the index finger and of the whole arm and they found that the music-supported therapy yielded significant improvement in both gross and fine motor functions of the hands [41].

c) Pharmacological Treatment and Rehabilitation: Dopaminergic agents and selective serotonin-reuptake inhibitors (SSRIs) are known in the altering of natural history of recovery after stroke. These compounds have been studied in preclinical and clinical trials and revealed that SSRIs coupled with physiotherapy aid in functional recovery via modulation of noradrenergic system by exerting an effect on excitation/inhibition pathways, leading to enhancement of motor function after a stroke [42]. They promote neuronal sprouting and cortical reorganization restoring blood flow thereby improving neuronal survival. This compound regulates the expression of hypoxia-inducible factor-1 α (HIF-1 α) and of heme oxygenase-1 thereby leading to neuroplasticity and recovery in Stroke.

The FLAME trial was conducted in nine centres in France, in 118 patients with ischemic stroke and unilateral weakness. Treatment with fluoxetine, started between days 5 and 10 after symptom onset, improved motor recovery and increased the chances of functional independence after 3 months [43]. FOCUS was a pragmatic, randomised, placebo-controlled trial that recruited 3127 adult patients with ischemic stroke or intracerebral haemorrhage across 103 hospitals in the UK, over a period of 4.5 years. Patients were randomly allocated fluoxetine 20 mg once daily or placebo, initiated between

2 days and 15 days after stroke onset and continued for 6 months. Unfortunately, the trial did not demonstrate any benefit on functional outcome of fluoxetine compared with placebo at 6 months (OR 0.951 [95% CI 0.839-1.079]; $p=0.439$) [44]. We attempted a combination of fluoxetine and tDCS in chronic stroke to determine the effectiveness of combination therapy for postural stability and gait using fluoxetine with tDCS and DTT. The results of the same study are awaited [45].

Non invasive brain stimulation (NIBS) in stroke [46,47]

The amalgamation of bioelectrical and engineering domains with medical sciences has led to the development of newer technologies. The stimulation through TMS or tDCS induces behavioral changes and the response of the brain is captured/modulated or augmented by these modalities. NIBS for stroke patients works on two theoretical models as suggested by reviewers: (i) an interhemispheric inhibition of human motor cortices on one another; and (ii) the transcallosal inhibitory effect on the affected motor cortex because of the above said phenomenon. The approach for applying these gadgets is to either up-regulate the lesional hemisphere with excitatory anodal stimulation or down-regulate the contralesional hemisphere with inhibitory cathodal stimulation.

fMRI & Stroke recovery

Based on information gained from both short-term and long-term assessment of recovery following stroke, it may be possible to define two distinct phases of recovery in brain activity-recruitment and focusing. The recruitment phase increases the population of available neurons to compensate for lost connections, particularly supplementary cortical regions and contralesional regions. fMRI and DTI have been a boon to understand the functional and structural recovery post stroke. The experience and environment rich plasticity has been well elucidated in research trials [8,9,31]. In another interesting research, we conducted fMRI analysis trial on functional imaging and effects of physiotherapy on diffusion tensor imaging at baseline, pre and post physiotherapy (8 weeks). Multivariate regression analysis at baseline showed that rFA well correlated to the Fugl-Meyer score (regression coefficient: 0.198, $F=10.39$, $p=0.001$) and motoricity index (MI). All patients had high % signal intensity after 8 weeks of physiotherapy regime with a greater percentage change in rFA as compared at follow up suggesting that a focused exercise regime in stroke patients helps in the reconnection of neural and myelin networks [49].

Conclusion

We attempted to synthesize some of the effective therapeutic exercise regimes which are practiced widely to promote neural recovery post stroke. These 'ingredients of cognitive neuroscience' for motor recovery have been evidenced by our research experience as well [50]. We hope that future work in motor recovery after stroke will encompass a more structured and

novel rehabilitation protocols along with other biosurrogate markers in stroke and other patient populations.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

Authors' contributions	AB	NK	MVPS	SK
Research concept and design	√	√	--	--
Collection and/or assembly of data	--	√	--	--
Data analysis and interpretation	√	--	--	--
Writing the article	√	√	--	√
Critical revision of the article	--	--	√	√
Final approval of article	--	--	√	√
Statistical analysis	√	--	--	--

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