Periconceptional stress in C57BL/6J female mice leads to altered behavioral responses in their offsprings

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Introduction
It is well known that disorders of the mind normally encompass deficits in behavior. Among the more threatening disorders of this kind, we have schizophrenia, anxiety, depression and bipolar disorders. Extensive research has suggested that schizophrenia is a multi-factorial disease that can be attributable to both genetic and environmental elements [1,2]. Since schizophrenia affects the global population, worldwide studies have attempted to determine which individuals would be at an elevated risk of developing this neurophysiological disorder. Studies have indicated a correlation between an individual developing neurological deficits and having a family history of psychosis [3,4], with a twofold increase in risk compared to individuals without psychosis family background [5]. Moreover, approximately 20% of children with schizophrenic parents present some type of neurological impairments as compared to less than 6% of those with non-psychotic parents [5].Psychosis might be also gender specific, with more incidences occurring in females [5,6]. Thus, vulnerability in developing the disease increases with a family history of schizophrenia, and/or if the offspring were female. Also interesting is the fact that nurture plays an important role in the genesis of psychopathology. It has been shown that prenatal, perinatal and postnatal stresses affect the neurophysiology of the brain in the offspring resulting in schizophrenic-type behaviors, effects on cognition and learning and alterations in language functioning and IQ [7]. Many scientific papers have

Abstract
Maternal psychological disturbance has deleterious responses on the newborn. However, the consequences of periconceptional stress in females on the behavioral effects of the offsprings have not been well established. This study was carried out to determine the predisposition to psychotic-like effects among mice derived from mothers suffering stress before conception. Ten female mice were randomly selected to two different groups, a stressed induced group and a control undisturbed group. These female mice were housed together with males to encourage pregnancy. Female mice from the stressed group were exposed daily to randomized stress test protocols. All stress tests ceased before pups were born. After birth, pups were allowed to remain with the mother until weaned at 21 days. Testing for behavioral abnormalities related to cognition and anxiety was performed in mothers as well as offsprings to calculate predisposition to psychosis and anxiety among identically treated mice. Our results indicate that periconceptional induction of stress in young females results in anxiety. Moreover, this maternal stress translated in increased anxiety-like behaviors in their youths. These results suggest that offspring of mothers stressed before conception may show enhanced responsiveness to stress later in life, and indicate that prenatal stress may have long-term effect on behavioral reactivity. Thus, it is possible that the emotional status of an adult may be ruled not only by individual post-natal occurrences, but by other earlier environmental factors related to pre-pregnancy experiences.

Keywords: Schizophrenia, stress, cognition, learning, psychosis
detailed the debilitating effects of stress on a pregnancy [8]. It has been suggested that prenatal exposure to adverse life events such as infections [9,10], death of a close relative [11], smoking [12,13] or natural disasters [14] might lead to mental complications of the offspring, including depression, psychosis and learning disorders. Therefore, besides genetic components that might lead to neurophathological illnesses, susceptibility to these disorders appears to be enhanced by maternal exposure to some stressful factor(s) during pregnancy. In this study we focus on describing whether periconceptional maternal chronic stress produces behavioral and cognitive impairment in the offspring when reaching puberty. Specifically we were interested in determining predisposition to psychotic- and anxiety-like effects among mice derived from mothers stressed before conception. Together, our data indicate that this maternal stress induces mostly anxiety-related effects not only in the individuals undergoing the stress, but also in their future young. This might suggest that emotional status of an adult may be determined not only by familial genetic factors, but also by epigenetic changes induced upon negative environmental experiences previous to conception.

**Materials and methods**

**Animals**

All experiments were conducted under an approved protocol from the University of Houston Institutional Animal Care and Use committee and according to NIH guidelines for the care and use of laboratory animals. Adult male and female C57BL/6J mice (5-7 weeks old) were used for these experiments. Females were paired with males for mating purposes. Paired animals and litters were housed in a 10:14 light/dark cycle under controlled temperature (21°C) and humidity (50-55%), with access to food and water *ad libitum*. All behavioral test described were run during the afternoon and approximately at the same time each day for all groups.

**Stress induction protocols**

The experimental design and protocols followed are depicted in Figure 1. Females were exposed to one of several forms of stress-inducing environments, on a rotational basis and at relatively the same time each day for an average of 12-15 days. These protocols have been widely used to induce stress and validated by other laboratories. Thus, testing female mothers on anxiety, stress and cognitive behaviors after stress induction was completed just to confirm that the stress paradigms were indeed effective.

**Immobilization**

Mice were placed in a clear flat bottom rodent restrainer (1.5” diameter x 3.75” long) with sufficient holes for respiration, for 30 minutes. Mice were unable to perform any form of motility (going forwards and backwards, or turning around).

**Shock**

Mice were placed in a fear conditioning apparatus. A mild 0.45mA shock lasting 3 seconds was randomly administered five times over a 7 minutes period.

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experiments were conducted in the same sets of animals to (1) lower cost and breeding time, and (2) be consistent with good animal care and use guidelines for minimizing the numbers of animals used in biomedical research.

**Open field**

The mouse was place in the center of a clear plexiglass chamber (43x43x18 cm) with a lid containing 28 1-cm holes covering the chamber. The animals remained in the chamber for a total of 30 min to explore the novel environment. Exploration by the animal was monitored by an OptoMax computer program (OptoVarimex-Micro, Columbus Instruments, Columbus, OH).

**Accelerating rotarod**

The rotating rod (3 cm in diameter, MED Associates, St. Albans, VT) was equipped with automatic fall detector, with the speed of rotation increasing at a constant rate from 4-40 rpm during a 5 min period. Each animal was tested over a period of 2 days with 4 trials/day (30 min inter-trial interval [ITI]). A single trial lasted from the time the animal was placed on the rod until it dropped off onto the plastic rest platform or until 5 min had elapsed.

**Pre-pulse inhibition of startle response**

The test session lasted for about 16 minutes during which the mice were given seven trial types repeated five times to make a total of six trials. Mice were placed in a plexiglass cylinder enclosed in a sound-attenuated startle chamber (SR-LAB™ Startle Response System by San Diego Instruments, San Diego, California) and allowed to acclimate for about 5 minutes before the test session, which lasted about 10 minutes. Trial types included a no stimulus trial presented to measure baseline movement in the cylinder; a startle alone trial of 40ms at 120dB to measure maximum startle response and 5 trial types composed of five different 20ms prepulse sounds of 74, 78, 82, 86, or 90 dB presented 100ms before the startle stimulus of 120dB. Repetition of trial types was done in a pseudorandom manner with an inter-trial interval of about 10-20 seconds. The whole body flinch amplitude of the mouse, which occurred upon hearing the sound was measured by an electrostatic sensor located directly below the plexiglass cylinder. Recording of the startle response was done every 1 ms for a period of 65 ms following the onset of the startle stimulus. The maximum startle amplitude which was used as the dependent variable was averaged for the 6 trials for each mouse and used to calculate the percent PPI as 100-[(startle response on acoustic prepulse plus startle stimulus trials/startle response alone trials)-100] [17].

**Hot plate**

This test used a hotplate analgesic meter (Columbus Instruments, Columbus, Ohio) and it is vital to learning in fear conditioning paradigm. Mice were placed, one at a time, onto a hotplate (25.4 cm x 25.4 cm) preheated to 55°C. Latency to respond by jumping, hindpaw licking or hindpaw flicking was measured, and at that time the animal was immediately removed from the hotplate. If no response was obtained after 30 seconds, the animal was removed from the hot plate and the test ended.

**Light-dark exploration**

The animals were removed from the home cage and placed into a chamber (40x21x17 cm) partitioned into two sections, one illuminated by standard room lighting conditions and the other section dark. The two compartments were connected by a 7.5x7.5 cm doorway. The mouse was placed into the illuminated portion of the chamber and allowed to explore freely. The time the mouse spent in each section was recorded.

**Elevated plus-maze**

This apparatus consists of four runways (5cm x30cm) arranged perpendicularly and elevated about 1 meter above the floor. Two arms are enclosed by 15.5 cm grey plexiglass walls and the other two arms are open. Mice were placed in the center of the maze and allowed to explore the open or closed arms for 10 min. More fearful mice spent a greater percentage of time in the center of the arms with sidewalls, than on the open arms. A camera connected to a computer program (Ethovision XT tracking system, Noldus Information Technology, Leesburg, VA) recorded from above the activity and the time spent in the enclosed or the open arms. At the end of the experimental trial, the animals were lifted from the maze and returned into their home cage.

**Contextual and cued fear conditioning**

The experiments were carried out employing a computer-controlled fear conditioning system and software from MED Associates (St. Albans, VT), which has been previously validated [18]. Animals were placed into a mouse conditioning chamber (13x10.5x13 cm) equipped with a house light (28 V), a loudspeaker, and a floor with 19 equally spaced metal rods (2.8 mm diameter). The fear conditioning chambers are housed in sound-attenuating cubicles (56x50x41 cm) equipped with a background noise-generating fan to overshadow extraneous sounds. Animals were given 2 min to explore the environment. A 30 sec tone (80dB, 2 kHz) preceded a 2-sec scrambled foot shock (0.75 mA), which was presented 120 sec, 240 sec and 360 sec after session onset. The session ended 60 sec after the third shock. The occurrence of freezing (defined and measured as immobility, except for respiratory movements) during this training was measured and considered the control measure of unconditioned fear. Recording of freezing behavior during experiments was automated, with the movement of mice detected by infrared cameras enclosed within each chamber. The grid-floor stimulator was calibrated with a software-integrated ammeter (MED Associates) to deliver 0.75mA of 2 sec duration. This task allowed us to assess not only contextual conditioning, a hippocampal-dependent task, but also conditioning to a discrete cue, a largely hippocampal-independent task [19-22]. After initial training, mice were then returned to their home cage. To test fear conditioning to
the contextual cues, the animals were returned to the training context at various times after training (1-short term memory [STM] and 24-long term memory [LTM] hours) for a 7-min test session. No shocks were presented during the contextual test session. Time spent freezing in the identical test chamber was considered a measure of contextually conditioned fear, and evaluated as learning/memory behavior. To test conditioning to the tone, the test chamber was modified with respect to tactile, spatial, visual, and olfactory properties to create a novel test environment. No foot shock was administered but the same tone delivered while training was presented during the last three minutes of the test session. Freezing behavior was scored at the same time intervals to measure STM and LTM.

Spatial learning in the morris water maze
This is a spatial navigation task that teaches to locate a hidden escape platform in a circular pool (1.38 m diameter Nalgene pool) of opaque water (made opaque with tempera paint powder) using distal visual cues outside the pool. Each mouse was given 4 trials a day (with inter-trial interval [ITI] of 30 min) for 4 days. Subjects were released into the pool from 1 of 4 starting positions, and the location of the platform remained constant throughout training. The time to find the escape platform was measured. The amount of time any individual mouse spent in the water was limited to 60 sec. Twenty four hours following training trial number 16 (day 5), a probe test was given. In the probe test, the platform was removed and animals were allowed to search the pool for 60 sec. Quadrant search time (%) was assessed to characterize the mouse’s search behavior and recall. For all parts of this test, animal behavior was assessed using the Ethovision XT software and tracking system (Noldus, Leesburg, VA). Inability to swim or no movement resulted in early removal from the pool and not inclusion in the test group.

Statistical analysis
All data are presented as mean±standard error of the mean (SEM), and significance was set at $p \leq 0.05$. For PPI and Morris Water Maze, statistical assessment was done using ANOVA. For all other behavioral assessments, a $t$-test was used to compare undisturbed and stressed animals.

Results
Periconceptional induction of stress in pregnancy success rate and mouse body weight
Compared to the control undisturbed group, induction of stress in young female mice proved to have a negative effect in the success of those animals to become pregnant as well as in the mortality rate of the newborns (Table 1). Females in the control group had a better success rate on becoming pregnant compared to the stressed group. Interestingly, litter size was about 80% higher in the stress-induced group, but mortality rate of those newborns was also higher for this group compared to their undisturbed group. Body weight and weight gain for the adult females at the start and finish of experiments was not significantly different between undisturbed and stressed mothers, with undisturbed females gaining slightly more weight during their pregnancy compared to the stressed group ($t=2.05$, $p=0.0566$). Litters had similar weights at the time of performing the behavioral tests (25.06±1.69 for young animals derived from undisturbed mothers vs. 26.73±0.5 for young animals derived from stress mothers). A similar number of males and females were tested for each group (undisturbed 5 females and 4 males [n=9]; stressed 6 females and 6 males [n=12]). No differences were found in any test according to gender.

Behavioral consequences of stress induction in mothers and their offsprings
Control tests
Several tests were run to control for physical alterations due to induction of stress that would not allow the animals to perform in a similar fashion. We measured locomotor capability and muscle development using the rotarod (control for fear learning, open field and Morris water maze), sensory function using the hotplate (control for pain sensation in the fear learning paradigm), and acoustic skills (startle habituation/Prepulse inhibition). Besides evaluating coordination and balance in the rotarod, this test has previously shown to be sensitive to deficits in motor learning, a task that has been reported to be dependent of the cerebellar integrity. Both groups of female adults (Figure 2A) and also derived litters (Figure 2B) presented similar muscle development and locomotor behavior. Additionally all groups presented good motor learning capabilities, indicating no deficiency in the cerebellar area, which is associated with motor learning. Furthermore, mothers subjected to preconceptional stress performed better in the rotarod during the first day of testing, appearing capable of focusing better on the task at hand (Figure 2A).

The hot plate test assesses the sensory response of mice. Both groups had a similar sensory function as measured in the hot plate (Figures 3A and 3B).

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<thead>
<tr>
<th>Females</th>
<th>Pregnancy success rates</th>
<th>Offspring♂</th>
<th>Offspring♀</th>
<th>Offspring mortality rate</th>
<th>Mothers Tested(n)</th>
<th>Juveniles/adults tested(n)</th>
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<tbody>
<tr>
<td>Control (n=5)</td>
<td>80%</td>
<td>6</td>
<td>7</td>
<td>30.76%</td>
<td>4</td>
<td>9</td>
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<tr>
<td>Stress (n=5)</td>
<td>60%</td>
<td>15</td>
<td>9</td>
<td>50.00%</td>
<td>3</td>
<td>12</td>
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Pre-pulse inhibition (PPI) of startle response was used to assess the animal’s general reflexes (startle) and acoustic skills. We used the pre-pulse inhibition of startle response test to measure the hearing ability of our study mice. Litters from both stressed and unstressed groups showed nearly identical responses to startle stimuli, but a deficient response compared to the inhibition level recorded for the adults. While no difference was observed between both groups of female mothers, these adults in general showed increased inhibition on their response after the pre-tone was administered compared to the litters (Figures 4A and 4B). In summary, our results indicate no impairment in locomotor skills as well as the general reflexes and acoustic skills for mothers and litters.

**Induction of anxiety related behaviors**

**Open field**

To evaluate normal exploratory behavior, motor function and anxiety traits we measured spontaneous activity in the open field. We used a large open field to provide more opportunity for long-distance movements that will allow us to detect components of fear- and/or anxiety-related behavior. In the open field test, female mice subjected to stress travelled significantly shorter distances than females in the control group (Figures 5A and 5B). Overall, the distance traveled and the total activity performed by the stressed group in a 30 minute period was shorter than female control group, indicating that the stress paradigms were indeed working at inducing stress in those animals. Upon measuring thigmotaxis (measure of the animal remaining in the edges of the field and a sign of anxiety), we observed that the time spent around the edges of the field was significantly shorter than for control females (Figure 5C). Decreased thigmotaxis normally suggests a lower anxiety level. However, because stressed females had overall less exploratory activity, this decreased thigmotaxis might be due to the lack of movement, despite the increased anxiety response shown in other tests.

When litters derived from female mice subjected to stress were tested, we did not observe any difference between distances traveled and total activity within the open field box compared to litters derived from females in the control group (Figures 5D and 5E), suggesting a comparable ambulatory activity. However, youngsters derived from stressed females showed a significant increase in thigmotaxis, indicating that, while their overall exploratory
behavior appears normal, they are significantly more anxious and/or depressed than the offsprings derived from undisturbed females, seeking the edges of the field for their movements (Figure 5F).

**Light/Dark**
To evaluate anxiety-related behavior we used the light-dark test. This 10 minute test measured the tendency of the mouse to explore a novel environment against the aversive properties of a lit open field. In the light/dark test, stressed mothers spent less time in the light side of the box (Figure 6A). Young mice derived from these stressed mothers also spent a significantly shorter amount of time within the lit side of the box (Figure 6B) as compared to the control mice derived from un-stressed females. These results indicate that females and young animals coming from a stressed background are indeed significantly more anxious than control mice.

**Elevated plus maze**
This test measures fear and anxiety. It builds on the measurements taken on the light-dark paradigm by adding two additional components that measure anxiety, the openness and the height of the runways. Stressed Female mice did not venture out into the open arms of an elevated plus maze. They showed increased anxiety traits in comparison with undisturbed mice, spending less time in the center of the apparatus, and more time in the closed areas of the maze (Figure 7A). An identical behavior was observed on the young mice derived from stressed mothers, spending less time in the center of the maze and longer time in the closed arms as opposed to the open arms (Figure 7B).

**Effects on learning and memory**

**Fear conditioning**
Conditioning fear is a measure of learning and memory retrieval. In this test, mice are conditioned to freeze (measurement of learning and memory) to environmental cues (context box or a tone) that they associate with an aversive stimulus in the form of a foot shock. The test measures the ability of the mouse to learn and remember an association between that aversive experience and environmental/auditory cues. During the training session of this test, stressed female mothers appeared to be already conditioned to the test apparatus, freezing significantly more compared to controls from the time they were placed on the conditioning box (Figure 8A). This type of unexpected behavior might be explained because of the familiarity these stressed...
females had with similar environments during the stress induction protocols (shock).

Contrary to these results, the offsprings from stressed mothers clearly showed an increased fear response during the conditioning training (Figure 8B), presenting a better pairing than offsprings from undisturbed mothers. These results confirmed previous tests that indicated a higher level of fear and anxiety in the young mice, showing increased fear awareness during the aversive stimuli. When stressed females were placed on the same box for test recall 24 hr after training, their behavior was very similar to their performance during testing, with a high amount of their time inside the box freezing as a sign of learning (Figure 8C). No differences were found in the recall 24 hr after training to the sound administered during training (Figure 8E). As for the young animals derived from stressed or undisturbed mothers, there was not significant difference in their recall to the test either for the context (Figure 8D) or for the sound (Figure 8F).

**Morris water maze**

The Morris water maze was used to evaluate spatial learning and reference memory abilities. During the training phase of the Morris Water maze, all animals from all groups were capable of learning the task at the same rate, showing overall reduction in the time taken to find a platform with the trial number (Figures 9A and 9B). A probe test was administered 24 h after training. During this probe test stressed females did not show a clear deficiency in spatial learning compared to unstressed females (Figure 9C). While not significant, stressed females showed a slight improvement in the performance of this test, spending more time looking for the platform in the correct quadrant. Likewise, no major differences were found in young mice, except for the offsprings derived from stressed mother spending more time in the opposite quadrant to where the platform was initially located (Figure 9D).
Figure 7. Relative anxiety levels as measured in the elevated plus maze. The percentage of time spent by the mice exploring both arms (open and closed) is computed to reveal anxiety levels in the animal. (A) Female stressed mice spent more time inside the closed arms of the maze compared to unstressed group (t=2.35, *p<0.05). (B) Litters derived from stressed group also spent a significant amount of time in the closed arms as compared to the controls. (t=1.734, *p<0.05).

Discussion
Stress in an individual has been linked to the development of cognitive and other behavioral deficits. Moreover, it is well established that maternal prenatal stress causes many complications during pregnancy, not only for the mother, but also for the newborn. Stress before, during and after a pregnancy, has multiple quantifiable effects in the overall development, general health and behavior of the newborn [23]. Research has shown that the second and third trimesters of pregnancy are very important, because those are times of maximum susceptibility to the emerging brain [24]. It is then that environmental factors may disrupt important processes such as neuronal migration. If this occurs, there might be abnormalities in the prefrontal cortex, the hippocampus and entorhinal cortex. Those are brain areas that are affected in mental illnesses such as schizophrenia [25]. In this study we have focused primarily on how offsprings perform cognitively and behaviorally as adults. We have shown that periconceptional stressed mothers showed increased anxiety, and that mice born from these stressed mothers also had higher anxiety- and depression-like behaviors at their juvenile/adult stage. The implication of these results is that, besides the genetic imprint of an individual, there may be epigenetic changes occurring even before conception that are being passed from mother to youngsters. As such, the genes themselves might not be changing, but the way the DNA is wound up together is, resulting possibly in alteration on the expression of some important genes.

Our study suggests that induction of stress in female mice was successful using the tests chosen. This was supported by increased anxiety-like behaviors. We also report that offsprings from
Figure 8. Associative learning in the fear conditioning paradigm. Percentage freezing (as an indication of learning) was measured. Stressed females (A) and derived offspring (B) showed a higher index of learning during training compared to controls. Twenty four hours after training, memory to the context and the sound were determined. (C) Recall of the task to contextual place showed that stressed mothers had a higher freezing behavior compared to their controls, perhaps due to the previous exposure to stress by single shock. (D) Recall of the task to contextual place by the juveniles derived from stressed mother showed not deficits as compared to juveniles derived from undisturbed females. Similar results were obtained when measuring recall of the task to the sound in mothers (E) and their respective offspring (F). *=p<0.05.

stressed mothers (both male and females, as no differences were found when analyzing the offsprings by gender) have elevated expression of anxiety and possibly depression, as shown by the anxiety tests and the decreased exploratory activity. Regarding psychosis-like behaviors as measured by PPI, our study shows that stressed females have similar inhibitory behavior compared to the control group. Interestingly, offsprings derived from both groups (control and stressed females) appear to have a deficit in acoustic prepulse inhibition. This apparent deficit in PPI could be associated to neuropsychiatry diseases in humans, in which patients are having difficulty ignoring external stimuli (schizophrenia, Tourette's syndrome, bipolar disorder). However, in our study we did not find a difference between offsprings derived from stressed females and undisturbed controls. This makes it problematic to link to psychotic complications. It has been established that, in rodents, increasing age and experience results in improved performance in the PPI test [26]. Thus, our data might reflect the fact that the offsprings, perhaps due to the age, are not capable of processing the stimulus correctly.

Interestingly, our results in the fear conditioning test also show a higher freezing behavior from animals exposed to stress and their offspring with respect to animals that were left undisturbed. This can be interpreted as stress building anxiety and resulting in alertness and attention. Our findings are in contradiction to some recent investigations in which mice exposed to five hours of multimodal stress presented altered dendritic morphology as well as cognitive function [23]. Additionally, other investigations have shown that stress can damage attention [27,28], short-term memory [29], passive avoidance response [30], recognition memory [31], working memory [32], and spatial memory [33,34]. However, we need to point out that there are several levels of stress that can produce different results on these functions. Low stress levels can even be beneficial for normal functioning, while moderate and especially severe stress levels
can produce detrimental effects. Thus, we suggest that these stressors experienced peri-conceptually, while perhaps milder in nature as compared to other studies, produce susceptibility towards behavioral abnormalities in mothers suffering the stress as well as offsprings at the time of adolescence. While no learning disabilities were uncovered, increased anxiety may help on the onset of cognitive disorders. Future studies will be carried out to reveal correlations between increased anxiety, psychosis and physiological alterations within the brain.

Recently, there have been studies that have linked excess free radicals in the brain to anxiety and depression, all of which are underlying symptoms to larger psychotic disorders [35]. Likewise, it has been suggested that maternal stress produces alterations in certain serotonin and glutamate receptors in adult offspring [36]. These mice exhibited behaviors reminiscent of schizophrenia [36], depression-like behaviors [37], possibly due to dysfunction in receptors for these neurotransmitters. Altogether, there is a link between stress and neurological/physiological changes in the brain of the offspring, which are worth to decipher.

Competing interests
The authors declare that they have no competing interests.

Authors’ contributions
A. Oderhow who performed the experiments, analyzed the data and wrote the manuscript. M.V. Tejada-Simon designed the study, analyzed the data, wrote the manuscript.

Acknowledgement
This study was supported by the small research grants- (UH) to M.V.T.S. The authors want to thank Luis Martinez for help on animal husbandry and training on the behavioral experiments.

Publication history
Editors: Vinay Parikh, Temple University, USA.
Kamilla Bargiel-Matusiewicz, University of Warsaw, Poland.
Received: 06 November 2015 Revised: 11 December 2015 Accepted: 21 December 2015 Published: 30 December 2015

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Citation: Oderhowho A and Tejada-Simon M.V. Periconceptional stress in C57BL/6J female mice leads to altered behavioral responses in their offspring. J Psychiatry Brain Funct. 2015; 2:10. http://dx.doi.org/10.7243/2055-3447-2-10